WEALTH MANAGEMENT

Morgan Stanley

The Heritage Group at Morgan Stanley
As Financial Advisors, our role is to help you use your wealth to achieve what is most important to you. That may mean providing an education to children and grandchildren, buying a vacation home, meeting the special needs of a family member, retiring ten years early — the list is endless, and the decision is uniquely your own.

Together, we will explore your aspirations and the life you envision. We will work with you to translate your goals into a specific investment objective and personalized investment strategy. And then, drawing on the team’s experience — and perspective informed by the insight and resources of one of the world’s largest financial firms — we will structure a portfolio for you, executing and refining that strategy to help bring you closer to your definition of investment success.

In short, your goals become our focus, and achieving them becomes a commitment that we share.
Meet the Professionals
Hollis Hooks  
*Wealth Management Associate*

Hollis was born and raised in Lakeland, FL and graduated from Mercer University in Macon, GA. Following graduation he spent two years in Washington, DC, as an aide to then US Senator Lawton Chiles from Florida. He joined Smith Barney, Harris Upham and Co. in Lakeland in 1980 and is currently a Wealth Management Associate in what has evolved over the years through numerous mergers and name changes into Morgan Stanley.

Community involvement has been a hallmark of Hollis’ career and an important aspect of his life in Lakeland and Polk County. He was elected to the Polk County School Board where he also served as chairman. He has served on the Board of Directors and Chairman of the Lakeland Regional Medical Center, Polk State College, Lakeland Regional Medical Center Foundation, Leadership Lakeland Alumni, the Polk Education Foundation, and the Achievement Academy. He is a past recipient of the Jere Annis Award given by the Leadership Lakeland Alumni Association in recognition of his volunteer service to the Lakeland community.

In his spare time Hollis enjoys golf and spending time with his wife Debbie, children Emily and Hamilton, and granddaughter, Kate.
Kevin Griffith, CFP®, CRPC®
Senior Vice President - Wealth Management
Branch Manager

Kevin was born at Fort Benning, Georgia and grew up in Northern Virginia. He graduated from the University of Florida as a distinguished ROTC Cadet with a degree in Business Administration in 1985. As a Distinguished Military Cadet, Kevin was appointed a Regular Army Commission and served in the United States Army (82nd Airborne Division) until 1989. Shortly thereafter, he began his career with Smith Barney (now Morgan Stanley) in Lakeland, FL and was named Branch Manager in 1999. Kevin is a CERTIFIED FINANCIAL PLANNER™ (1994), as well as a CHARTERED RETIREMENT PLANNING COUNSELOR™ (2009).

In his spare time, Kevin enjoys community involvement, specifically the Leadership Lakeland Alumni and the Lakeland Chamber of Commerce Chairman’s Club. Kevin is a past chairman and board member of the Lakeland Regional Medical Center Foundation. He enjoys golfing and fishing in addition to spending time with his wife Bobbi, and two sons Joshua and Steven.

Wade Harvey
Senior Vice President - Wealth Management
Financial Advisor

Wade, Senior Vice President and Financial Advisor, joined Smith Barney (now Morgan Stanley) in 1990. Wade grew up in Lakeland and attended Florida Southern College where he graduated with a Bachelor of Science degree in Business Administration. He holds Series 7, 24, 63, and 65 licenses as well as a Life, Health, and Variable Annuities license.

Wade takes an active role in his church, First United Methodist of Lakeland, serving on the Finance Committee. He is a boating and fishing enthusiast and enjoys experiencing Florida's great outdoors. Wade and his wife Beth have two adult children, Kurt who works at Morgan Stanley and Tori who works at Vanderbilt Medical Center in Nashville, TN.
Hamilton Hooks, CFP®
Wealth Management Associate

Hamilton was born and raised in Lakeland, FL. After graduating from Lakeland High School in 2001, he attended the University of Florida where he graduated in 2006 with a Bachelor of Arts degree. Hamilton achieved a Master of Business Administration with a specialization in Finance from the University of South Florida in 2010. Shortly after graduation he began working for Morgan Stanley Smith Barney (now Morgan Stanley) in the Tampa, FL office. In 2012, after working as a Financial Advisor for two years, Hamilton joined the Heritage Group as a Wealth Management Associate. Hamilton is involved in Leadership Lakeland, the Downtown Lakeland Rotary Club, and the Lakeland chapter of Emerge. In his free time, he enjoys playing tennis and golf as well as traveling.

Kurt Harvey, CFP®
Financial Advisor

Kurt was born and raised in Lakeland, FL. After graduating as Valedictorian from Lakeland High School in 2008, Kurt went on to earn a Finance degree from the University of Florida. After graduating from UF, Kurt began his career in the Financial Services industry working as a Financial Representative at Fidelity Investments in Jacksonville, FL. He joined the Heritage Group at Morgan Stanley in the spring of 2013. In his spare time Kurt is involved with the March of Dimes and enjoys fishing, hunting, and hiking.
Angela Gauthier

Senior Registered Associate

Angela was raised in Walker, LA. She graduated from Louisiana State University, earning a Bachelor of Science degree in Business Administration with a concentration in Marketing. Angela then went on to earn a Master of Business Administration degree from Southeastern Louisiana University. After finishing her education in 2007, she began working as Client Service Associate for Smith Barney (now Morgan Stanley) where she received her Securities License and Registration. In 2008 she and her husband Brandon relocated to Florida, transferring to the Lakeland office where she began working for the Heritage Group. She is responsible for the team's administrative functions, and contributing to the day to day operations.

Angela enjoys spending time with her family, her husband Brandon and son Baylen. She also enjoys traveling, photography, and reading.
Asset Allocation and Portfolio Planning

We will work together using a process that focuses on you and your goals, integrating investment decisions with your immediate financial needs and desired aspirations.

This process encompasses four steps:

1 Personal review

Before we can advise you, our team must understand you and your goals. We will guide you through an extensive discovery process to identify your objectives, time horizon, tolerance for risk and other considerations that may play a role in shaping your investment strategy.

2 Asset allocation and portfolio planning

Your input drives the development of a personalized financial strategy. Designed to help you meet your specific needs, it addresses areas such as retirement, education expenses, estate planning and tax minimization potential. When our analysis is complete, we will present to you an investment strategy that helps to identify appropriate diversification for your portfolio.

3 Implementation

After we have discussed and refined your strategy, we work closely with you to bring the elements to life. Should we decide that outside money managers are appropriate for you, we will help you select from the hundreds of investment management firms available to you. If you prefer to invest in individual securities, we can help identify specific stocks, bonds and other securities that may be suitable for your portfolio. Whatever approach we decide on together, our team will help you keep your strategy on track.

4 Ongoing communications and reporting

We view ongoing communication as the foundation of our successful collaboration with you. We will make every effort to keep abreast of your changing financial needs and provide...
viable strategies to help you meet them. This means reviewing your portfolio together at your request. When your circumstances change, we will work with you to revise your strategy and reposition your assets to reflect your new needs and goals.
Morgan Stanley strives to offer clients the finest in financial thinking, products and execution to help them meet their individual needs and achieve their personal financial goals. When we work together, you’ll benefit from personalized advice, objective guidance and dedication to your success as an investor. With access to Morgan Stanley’s renowned global resources and investment opportunities, we can help you develop and maintain an optimal investment strategy. Our wealth management strategy will address key areas of your financial life beyond traditional investments and may incorporate diverse recommendations for building and protecting your wealth.

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